

# City of Mount Rainier Ethics Commission

1 Municipal Place  
Mount Rainier, Maryland 20712  
Toll Free 1-877-669-6085

## FINANCIAL DISCLOSURE STATEMENT FOR ELECTED OFFICIALS AND CANDIDATES FOR ELECTIVE OFFICE

This form is for use by the Mayor and City Council and candidates to be elected officials. **It must be completed and filed with the City Clerk by April 30, 2025.**

*I Would Like to be Notified If Someone Looks at My Form*

### **Instructions:**

Fill in the preliminary information requested in the box below and sign and date the bottom of the Statement.

Reporting Period: **January 1, 2024, through December 31, 2024**

### **PLEASE PRINT OR TYPE**

FIRST NAME	MIDDLE INITIAL	LAST NAME
ADDRESS		
ADDRESS, LINE 2		
CURRENT OFFICE HELD WITH CITY OR, IF A CANDIDATE, THE OFFICE BEING SOUGHT.		
E-MAIL ADDRESS		
\PHONE NUMBER		

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by section 1D-6 of Chapter 1D, Ethics, of the Mount Rainier Code with respect to the reporting period indicated above and pertaining to the person filing the statement. The statement consists of this cover sheet, the checklist, and Schedules A through I. It must be filled out completely before it is accepted.

### Oath or Affirmation and Signature

I hereby make an oath or affirm under the penalties of perjury that the contents of this Financial Disclosure Statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: \_\_\_\_\_

(SEAL)

Date: \_\_\_\_\_

Ethics Commission Form No. 1 – Elected Officials

**Instructions:**

Complete each schedule, A through I, with a Yes or No answer. If you answer "Yes" to the opening question on any Schedule, you must complete that Schedule. Return all pages of the statement, even if you answered "No."

Interests: For the purposes of Schedule A (Interests in Real Property), Schedule B (Interests in Corporations and Partnerships) and Schedule C (Interests in Business Entities Doing Business with the City), which are required by City Code, Section 1D-6(g)(1), (2) and (3), the following interests are considered to be the interests of the individual making the statement:

- (1) An interest held by a member of the individual's immediate family, if the interest was, at any time during the reporting period, directly or indirectly controlled by the individual.
- (2) An interest held by a business entity in which the individual held a 30% or greater interest at any time during the reporting period.
- (3) An interest held by a trust or an estate in which, at any time during the reporting period:
  - (i) The individual held a reversionary interest or was a beneficiary, or
  - (ii) If a revocable trust, the individual was a settlor.

Form 1 – Elected Officials

**Schedule A – Real Property Interests**

Do you have any interest (**as an owner or a tenant**, including interests in time shares) in real property in Maryland or in any other state or country? Note that you must mark yes if you hold a leasehold interest in real property, *i.e.*, if you are a tenant.

Yes

No (You should only answer “no” if you live in a property that you do not own and for which you do not pay rent. If you answered “no” you **may** provide a brief explanation below. Otherwise, go to Schedule B.) \_\_\_\_\_

**If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)**

1. What is the address or legal description of the property? (Give Street Address, if you know it. If the property is your primary residence, you may enter the lot and block legal description instead, if you wish)

Street Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

2. How was the property acquired? (Example: lease, purchase, gift, inheritance, etc.)

\_\_\_\_\_

3. What kind of property is it?

Improved (indicate whether property is residential or commercial property): \_\_\_\_\_

Unimproved (vacant lot): \_\_\_\_\_

4. Are you the owner or tenant?

Owner: \_\_\_\_\_ Tenant: \_\_\_\_\_

5. Do you hold the interest solely or is it jointly held with another?

Solely: \_\_\_\_\_ Jointly: \_\_\_\_\_ Tenants by the Entirety: \_\_\_\_\_

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s):

\_\_\_\_\_

6. Are there any legal conditions or encumbrances on the property? [**Skip to question 7 if you are a tenant.**]  
(Example: mortgages, liens, contracts, options, etc.)

Yes

No

**If yes**, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc.?  
\_\_\_\_\_

7. What date was the property acquired (date deed was transferred to you or commencement of tenancy)?  
\_\_\_\_\_

8. From whom was the property acquired?

(Name of individual or entity from whom you leased, purchased, or inherited the property or who gifted the property to you.)  
\_\_\_\_\_

9. What consideration was given when the property was acquired? (For property you own, dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property. For property you lease, the monthly rent.)  
\_\_\_\_\_

10. Have you transferred any interest in this property during the reporting period?

Yes

No

**If Yes**;

10. A. What percentage of interest did you transfer: \_\_\_\_%

10. B. What consideration did you receive for the interest? \_\_\_\_\_

10. C. To whom did you transfer the interest: \_\_\_\_\_

**If you have any additional interests in real property in Maryland, any other state or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.**

## Schedule B – Interests in Corporations and Partnerships

Did you have any interest in any corporations, partnership, limited liability partnership (LLP) or limited liability corporation (LLC) during the reporting period **whether or not** the entity did business with the City? [You are not required to disclose interests in nationally traded mutual funds or IRS qualified trusts (such as a 401(a), 401(k), or 403(b) plans) or 529 college savings plans.]

- Yes  
 No (Go to Schedule C)

**If Yes; For every entity in which you had an interest at the end of the reporting period, a separate Schedule will be required. Alternatively, the disclosures may be made in the form of an end-of-year brokerage statement, spreadsheet chart, or other descriptive format, provided that such chart or statement contains the requested information and is accepted by the City's Ethics Commission.**

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol: \_\_\_\_\_

2. Does the stock of the corporation trade on a stock exchange?

- Yes  
 No

If "no," the legal address of the entity's principal office.

\_\_\_\_\_

3. Is the interest held directly by you or is it attributable to you?

Directly: \_\_\_\_\_ Attributable: \_\_\_\_\_

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone: \_\_\_\_\_ Jointly: \_\_\_\_\_

If jointly, the percentage of your interest: \_\_\_\_\_%

5. What is the nature of your interest and its dollar value or the number of shares? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.) If in a non-publicly traded entity or LLP or LLC, report the percentage of ownership. The number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). If in a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

Type: \_\_\_\_\_

Dollar Value of Shares: \_\_\_\_\_ or Number of Shares: \_\_\_\_\_

Percentage of ownership: \_\_\_\_\_%

6. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

Yes; **If yes**, name of entity holding the encumbrance: \_\_\_\_\_

No

7. Did you acquire an interest in the entity during the reporting period?

Yes

No

In the following questions, the number of shares may be disclosed in ranges (0-100, 101-250, 251-500, over 500). For a non-publicly traded entity or LLP or LLC, you may report the range of your estimated ownership percentage (less than 1%, 1-5%, 6-10%, or over 10%).

**If Yes;**

7A. In what month was the interest acquired? \_\_\_\_\_

7B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)  
\_\_\_\_\_

7C. From whom did you acquire the interest in the entity? (If you purchased it from a brokerage, the name of the brokerage): \_\_\_\_\_

7D. What consideration was given when the interest was acquired? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property):  
\_\_\_\_\_

8. Have you transferred any interest in this entity during the reporting period?

Yes

No

**If Yes;**

8A. What portion of the interest was transferred? \_\_\_\_\_

8B. What consideration did you receive for the interest in the entity? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property): \_\_\_\_\_

8C. To whom did you transfer your interest in the entity? \_\_\_\_\_

**If you have additional interests in corporations or partnerships, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

**Schedule C – Interests in Business Entities Doing Business with the City**

Do you have an interest in any business entity that did business with the City during the reporting period, other than interests reported in Schedule B (ie. sole proprietor)? Note that you must mark yes if you held any ownership interest in such a business entity, regardless of the percentage of the business you owned or the dollar value of your interest.

- Yes
- No (Go to Schedule D)

**If Yes: (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed. If the business entity is previously listed on Schedule B, list the name of the entity only and, after the name, state “See Schedule B.”)**

1. Name and Address of the Principal office of the business entity?

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

2. Is the interest held directly by you or is it attributable to you?

Direct: \_\_\_\_\_ Attributable: \_\_\_\_\_

3. Do you hold the interest solely or is it jointly held with another?

Solely: \_\_\_\_\_ Jointly: \_\_\_\_\_

3. A. If jointly, the percentage of your joint interest: \_\_\_\_\_%

3. B. Dollar value (or range) of your interest in the entity: \$ \_\_\_\_\_; or

percentage (or range) of your interest in the entity: \_\_\_\_\_

\_\_\_\_\_%

4. Are there any legal conditions or encumbrances that apply to your interest in the entity?  
(Example: mortgages, liens, contracts, options, etc.)

- Yes; If yes give name of creditor: \_\_\_\_\_
- No

5. Was any interest acquired during the reporting year?

- Yes
- No

**If Yes:**

5A. What month was the interest acquired? \_\_\_\_\_

5B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)  
\_\_\_\_\_

5C. From whom did you acquire the interest? (If it was purchased by you or on your behalf in an open market transaction as in the case of publicly traded securities, state “unknown, market transaction”):  
\_\_\_\_\_

5D. What consideration was given when the interest was acquired? (Dollar amount or range paid, or if you received the property as a gift or inherited it, the fair market value or range at the time you acquired your interest in the property)  
\_\_\_\_\_

6. Did you transfer any of your interest during the reporting period?

- Yes
- No

**If yes:**

6A. What percentage of interest, if less than all, was transferred? \_\_\_\_\_%

6B. What consideration (or range) did you receive for the interest in the entity? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):  
\_\_\_\_\_

6C. To whom did you transfer your interest in the entity? \_\_\_\_\_

**If you have additional interests in sole proprietorship(s) that did business with the City during the reporting year, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

## Schedule D – Gifts

During the reporting period, did you receive any gift(s), directly or indirectly, with a value greater than \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from a person or entity who did business with the City? Gifts received from a member of the official's immediate family, another child, or a parent of the official, do not need to be disclosed.

Yes

No (Go to Schedule E)

**If Yes; (Answer each question below. A separate Schedule D will be required for each gift.)**

1. Who gave you the gift?

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2. What was the nature of the gift? (Example: book, restaurant meal, theater tickets, book, etc.)

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3. What was the value of the gift?

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4. If the gift was given to someone else at your direction, list the identity of the recipient of the gift.

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**Please use additional sheet(s), if necessary, for any additional entries.**

## Schedule E – Offices, Directorships, and Salaried Employment

During the reporting period, did you or any member of your immediate family (spouse, domestic partner, or dependent children) have any salaried employment or hold any office or directorship with an entity that did business with the City?

Yes

No (Go to Schedule F)

**If Yes; (Answer each question below. A separate Schedule E will be required for each disclosure.)**

1. What is the name and address of the business entity?

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

2. Who was the individual who held the position or interest listed above? (Example: yourself, spouse, domestic partner, dependent child)

Self: \_\_\_\_\_ Spouse: \_\_\_\_\_ Domestic Partner: \_\_\_\_\_ Dependent child: \_\_\_\_\_

2A. Name of spouse, domestic partner or dependent child: \_\_\_\_\_

3. What is the title of the office you, your spouse, domestic partner or dependent child held?  
(Example: limited partner, director, treasurer, chair of the board of trustees, etc.)

\_\_\_\_\_

4. What year did the position begin? \_\_\_\_\_

5. With what City department did the business entity do business? \_\_\_\_\_

6. What was the nature of the business? (Example: regulated by the City, registered under the lobbying law, or involved with sales and contracts with the City)

\_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

**Schedule F – Debts You Owe**

During the reporting period, did you or a member of your immediate family owe a debt (excluding a retail credit account) to a financial entity that did business with the City? [NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]

- Yes
- No (Go to Schedule G)

**If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)**

1. To whom did you owe the debt? (Do not include consumer credit debts)

\_\_\_\_\_

2. When was the debt incurred?

\_\_\_\_\_

3. What are the interest rate and terms of payment of the debt?

Interest Rate \_\_\_\_\_

Terms (monthly, bimonthly, annually, etc.): \_\_\_\_\_

4. What was the amount of the debt as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put \$0.

\$ \_\_\_\_\_

5. Did the principal of the debt increase \_\_\_\_\_ or decrease \_\_\_\_\_ during the reporting period, and by how much?

\$ \_\_\_\_\_

6. Was any security given for the debt?

- Yes
- No

If Yes; Please state what type of security was given (home, car, boat, etc.):

\_\_\_\_\_

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction.

\_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

## Schedule G – Family Members Employed by the City

During the reporting period, were any members of your immediate family (spouse, domestic partner or dependent children) employed by the City in any capacity?

Yes

No (Go to Schedule H)

**If Yes; (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the City.)**

1. What is the relation and name of the immediate family member employed by the City?

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2. What is the name of the department that employed the member of your immediate family?

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3. What was the title of your immediate family member's position in the City department during the reporting period?

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**If necessary, please use additional sheet(s) for any additional entries.**

**Schedule H – Employment/Business Ownership**

During the reporting period, did you or any member of your immediate family, receive any earned income from an entity other than the City of Mount Rainier? Note that you must answer yes if you or your family members received a salary or earned income from a business, regardless of whether the business does business with the City. Your dependent child’s employment or business ownership does not need to be disclosed unless the place of employment or the business entity is subject to regulation by the City or has contracts in excess of \$10,000 with the City. Please note that, except as it relates to dependent children as discussed in the preceding sentence, **this question is not limited to entities that do business with the City.** If you or your spouse or domestic partner was employed or received earned income, you must answer yes to this question.

- Yes
- No (Go to Schedule I)

**If Yes; (Answer each question below. A separate Schedule H will be required for each member of the immediate family who owned a business entity or held employment.)**

1. If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relation, name, and address of the employment.

Name: \_\_\_\_\_

Relationship: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the relation, name and address of the business entity.

Name: \_\_\_\_\_

Relationship: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

## **Schedule I – Other**

Is there any additional information or interest you would like to disclose?

### **STANDARDS OF CONDUCT**

The City of Mount Rainier Ethics Chapter includes standards of conduct applicable to elected officials and City employees. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with the City, and procurement specifications assistance restrictions. The Law provides for exceptions and exemptions under certain circumstances. Filers wanting more detailed information about these

### **PRIVACY NOTICE**

The Ethics Chapter requires the collection of this information, which will be used to determine compliance with the Ethics Chapter. The information may be disclosed to any requesting person, including officials of State, local or federal government, who records their name and address, and this record will be provided to the filer upon request.